# Impact Analysis Report / RFC Proposal

**Section 1: Meta-data**

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| **RFC ID** | **RFC\_AES\_0148 (JIRA: UCCAES-2098)** |
| **Related Incident ID** | **-** |
| **RFC Initiator / Organization** | **DG TAXUD/B3** |
| **CI** | **AES-P1 (DDNXA-5.15.1-v1.00 - CSE-v51.8.2)** |
| **Type of Change** | **Standard** **Emergency** |
| **Nature of Change** | Justification for Evolutive   |  | | --- | | New external domain message with new codelists, guidelines, rules and conditions shall be added to cover the case of recapitulative supplementary declaration in the current specifications. | |
| **RFC Source** | |  |  | | --- | --- | | **Legal & Policy Change**  **Organisational Changes** | **Business Change**  **IT Change** | |
| **Review by Business User recommended?** | **Yes No** |

***Change Summary***

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| **AES-P1 (DDNXA-5.15.1-v1.00 - CSE-v51.8.2): Recapitulative Supplementary declaration** |
| It is identified that for the case of recapitulative supplementary declaration a new external domain message shall be created with some new codelists, conditions, guidelines and rules attached. Since, there is not recommended scenario to cover the case of recapitulative a new scenario shall be also added to the current specifications. Additionally, the CL042 will be replaced by the CL242 in CD533C. |

**Section 2: Problem Statement**

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| As per Article 167(1) UCC, the Supplementary Declaration may be of a **general**, **periodic,** or **recapitulative** nature. The supplementary declaration that falls under the scope of AES-P1 refers to the values ‘X’, ’Y’, ‘U’ and ‘V’(with **‘U’ and ‘V’ being implemented explicitly at national level**):   * ‘X’: for a supplementary declaration of simplified declarations covered by **B** (for a simplified declaration on occasional basis (under Article 166(1) of the Code)) and **E** (for lodging a simplified declaration (such as referred to under code B) in accordance with Article 171 of the Code); * ‘Y’: for a supplementary declaration of general or periodic nature of simplified declarations covered by **C** (for a simplified customs declaration with regular use (under Article 166(2) of the Code)); * ‘U’: for a supplementary recapitulative declaration of simplified declarations covered by **C** and **F** (for lodging a simplified declaration (such as referred to under code C) in accordance with Article 171 of the Code); * ‘V’: for a supplementary recapitulative declaration under the procedure covered under Article 182 of the Code (i.e. Entry in the declarant's records).   The current AES-P1 specifications (DDNXA 5.15.1 Main Document) include recommended scenarios for national implementation of Supplementary declaration of general or periodic nature (one to one relationship between Simplified and Supplementary declaration, corresponding to the Additional declaration types ‘X’ and ‘Y’). There is not currently recommended scenario in DDNXA Main Document that is referred to Recapitulative Supplementary Declaration (Additional declaration type ‘U’ or ‘V’).  **Impacted IEs**: CC512C, CC513C, CC515C, CC529C, CD533C, CD540C, CC599C.  **Impacted R/C/G/T/TRT/BRT/CL:** G0511, G0512, G0513, ~~G0514~~, G0515, G0516, G0518, G0519, C0472, C0473, C0474, C0475, C0509, C0510, C0519, C0523, C0526, C0528, C0920, R0511, ~~R0512~~, R0513, CL241, CL242  **Impacted CIs Artefacts**:   * **CSE-v51.8.0: Yes** * **DDNΧA-5.15.1-v1.00 (Appendix Q2\_R\_C, PDFs): Yes** * **DDNXA-5.15.1-v1.00 (Main Document): Yes** * **Functional Specifications (FSS/BPM): Yes** * **DMP Package-5.8.0-v1.00 (incl. update of file Rules and Conditions\_v0.52): Yes** * **CTP-5.13.0-v1.00: Yes** * **TRP-5.13.0-v1.00**: **Yes** * **CRP 5.7.7.1-v1.0: Yes** * CTS-5.7.6-v1.00: No * ACS-5 8.0-v1.00 & ACS-Annex-AES/NCTS: 5.8.0-v1.00: No * AES-P1 and NCTS-P5 Long-Lived “Legacy” (L3) Movements Study v1.50: No * DDCOM 20.4.0-v1.00: No * ieCA 1.0.4.1: No * CS/RD2\_DATA: No * CS/MIS2\_DATA: No |

**Section 3: Description of the proposed solution**

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| The Recapitulative Supplementary Declaration might be lodged in the case of a simplified declaration pursuant to Article 166 UCC or of an entry in the declarant's records pursuant to Article 182 UCC. The D.E. Additional declaration type reflects the cases depending whether Additional declaration type `U` or `V` is registered in the IE512 message.  It shall be noted that the structure of new message IE512 follows completely the structure of declaration message IE515 including possible deviations from Annex B in terms of order of Data Elements.  The process of Recapitulative Supplementary Declaration for Additional Declaration Type = ‘V’ is a standalone process. It shall be considered that the functionality of Entry Into Declarant’s records (EIDR) as well as Presentation Notification (PN) for EIDR is not part of the current technical specifications because the EIDR process itself is out of scope of the UCC AES project. The implementation of this part of the process (declaration made by EIDR and PN) depends on the national implementation.  The present IAR covers the new Recapitulative Supplementary Declaration message and description that might be used for complementing either the simplified declaration (Additional declaration Type=U) or the EIDR (Additional Declaration Type = ‘V’).  In the forthcoming release of DDNXA Appendices the following update shall take place (addition of **text highlighted in yellow,** removal of ~~text with strikethrough~~):   1. A new external domain message shall be created, considering the follows:  * Since one recapitulative will be sent for multiple simplified declarations, the multiplicity of Data Element “GOODS SHIPMENT” shall be changed to 9999x; * The Data Element “CUSTOMS OFFICE OF EXIT” is moved to GS level; * The Data Elements “Total amount of invoice”, “Invoice currency” and “Exchange Rate” are introduced in GS level; * Data Item “LRN” shall be kept at Global level since it is the identifier of the message; * A strict approach was employed, to create a clean version of the new message, and remove any conditions that define D.G/D.I. optionality based on having a simplified declaration; * Since the applicable values of D.I. “Additional declaration type” are ‘U’ and ‘V’ a new codelist must be used to restrict the applicable options; * The message structure, which will be similar with the structure of CC515C, is presented below:      1. A new condition will be created, which will be attached to Data Item “GOODS SHIPMENT.Invoice currency”, with the following wording:   **C0472**  Technical Description:  IF /\*/GoodsShipment/totalAmountInvoiced is PRESENT  THEN /\*/GoodsShipment/invoiceCurrency = "R"  ELSE /\*/GoodsShipment/invoiceCurrency = "N"  Functional Description:  IF <GOODS SHIPMENT.Total amount invoiced> is PRESENT  THEN <GOODS SHIPMENT.Invoice currency> = "R"  ELSE <GOODS SHIPMENT.Invoice currency> = "N"   1. A new condition shall be created, which will be attached to Data Item “GOODS SHIPMENT.Exchange rate”, with the following wording:   **C0473**  Technical Description:  IF /\*/GoodsShipment/invoiceCurrency is PRESENT  THEN /\*/GoodsShipment/exchangeRate = "O"  ELSE /\*/GoodsShipment/exchangeRate = "N"  Functional Description:  IF <GOODS SHIPMENT.Invoice Currency> is PRESENT  THEN <GOODS SHIPMENT.Exchange rate> = "O"  ELSE <GOODS SHIPMENT.Exchange rate> = "N"   1. A new condition shall be added, which will attached to Data Item “GOODS SHIPMENT.CONSIGNMENT.Mode of transport at the border”, with the following wording:   **C0474**  Technical Description:  IF /\*/ExportOperation/declarationType is EQUAL to 'CO' AND  /\*/GoodsShipment/GoodsItem/Procedure/requestedProcedure is EQUAL to '10'  THEN /\*/GoodsShipment/Consignment/modeOfTransportAtTheBorder = "O"  ELSE /\*/GoodsShipment/Consignment/modeOfTransportAtTheBorder = "R"  Functional Description:  IF <EXPORT OPERATION.Declaration type> is EQUAL to 'CO' AND <GOODS SHIPMENT-  GOODS ITEM-PROCEDURE.Requested procedure> is EQUAL to '10'  THEN <GOODS SHIPMENT-CONSIGNMENT.Mode of transport at the border> = "O"  ELSE <GOODS SHIPMENT-CONSIGNMENT.Mode of transport at the border> = "R"   1. A new condition shall be added, which will be attached to Data Item “GOODS SHIPMENT.CONSIGNMENT.Inland mode of transport”, with the following wording:   **C0475**  Technical Description:  IF /\*/CustomsOfficeOfExport/referenceNumber is NOT EQUAL to  /\*/GoodsShipment/CustomsOfficeOfExitDeclared/referenceNumber  THEN  IF /\*/ExportOperation/declarationType is EQUAL to 'CO' AND /\*/GoodsShipment/GoodsItem/Procedure/requestedProcedure is EQUAL to '10'  THEN /\*/GoodsShipment/Consignment/inlandModeOfTransport = "N"  ELSE /\*/GoodsShipment/Consignment/inlandModeOfTransport = "R"  ELSE /\*/GoodsShipment/Consignment/inlandModeOfTransport = "N"  Functional Description:  IF <CUSTOMS OFFICE OF EXPORT.Reference number> is NOT EQUAL to  <CUSTOMS OFFICE OF EXIT ACTUAL.Reference number> THEN  IF <EXPORT OPERATION.Declaration type> is EQUAL to 'CO' AND <GOODS SHIPMENT-GOODS ITEM-PROCEDURE.Requested procedure> is EQUAL to '10'  THEN <GOODS SHIPMENT-GOODS ITEM-PROCEDURE.Requested procedure> = "N"  ELSE <GOODS SHIPMENT-GOODS ITEM-PROCEDURE.Requested procedure> = "R"  ELSE <GOODS SHIPMENT-GOODS ITEM-PROCEDURE.Requested procedure>= "N"   1. A new condition shall be added, which will be attached to Data Group “GOODS SHIPMENT.GONSIGNMENT.DEPARTURE TRANSPORT MEANS”, with the following wording:   **C0509** Technical Description:  IF /\*/GoodsShipment/Consignment/inlandModeOfTransport is NOT PRESENT  THEN /\*/GoodsShipment/Consignment/DepartureTransportMeans = "N"  ELSE IF /\*/ExportOperation/additionalDeclarationType is EQUAL to 'U'  AND {/\*/ExportOperation/declarationType is EQUAL to 'EX' AND  /\*/GoodsShipment/GoodsItem/Procedure/requestedProcedure is in SET {21, 22}}  THEN  IF /\*/GoodsShipment/Consignment/inlandModeOfTransport is in SET {5,7}  THEN /\*/GoodsShipment/Consignment/DepartureTransportMeans = "N"  ELSE /\*/GoodsShipment/Consignment/DepartureTransportMeans = "O"  ELSE IF /\*/ExportOperation/declarationType is EQUAL to 'EX' AND  /\*/GoodsShipment/GoodsItem/Procedure/requestedProcedure is in SET {10, 11, 23, 31}  THEN  IF /\*/GoodsShipment/Consignment/inlandModeOfTransport is in SET {5,7}  THEN /\*/GoodsShipment/Consignment/DepartureTransportMeans = "O"  ELSE /\*/GoodsShipment/Consignment/DepartureTransportMeans = "R"  ELSE /\*/GoodsShipment/Consignment/DepartureTransportMeans = "N"  Functional Description:  IF <GOODS SHIPMENT-CONSIGNMENT.Inland mode of transport> is NOT PRESENT  THEN <GOODS SHIPMENT-CONSIGNMENT.Departure transport means> = "N"  ELSE IF /\*/ExportOperation/additionalDeclarationType is EQUAL to 'U'  AND {/\*/ExportOperation/declarationType is EQUAL to 'EX' AND  /\*/GoodsShipment/GoodsItem/Procedure/requestedProcedure is in SET {21, 22}}  THEN  IF <GOODS SHIPMENT-CONSIGNMENT.Inland mode of transport> is in SET {5,7}  THEN <GOODS SHIPMENT-CONSIGNMENT.Departure transport means> = "N"  ELSE <GOODS SHIPMENT-CONSIGNMENT.Departure transport means> = "O"  ELSE IF /\*/ExportOperation/declarationType is EQUAL to 'EX' AND  /\*/GoodsShipment/GoodsItem/Procedure/requestedProcedure is in SET {10, 11, 23, 31}  THEN  IF <GOODS SHIPMENT-CONSIGNMENT.Inland mode of transport> is in SET {5,7}  THEN <GOODS SHIPMENT-CONSIGNMENT.Departure transport means> = "O"  ELSE <GOODS SHIPMENT-CONSIGNMENT.Departure transport means> = "R"  ELSE <GOODS SHIPMENT-CONSIGNMENT.Departure transport means> = "N"   1. A new condition shall be added, which will be attached to Data Item “GOODS SHIPMENT.GOODS ITEM.Statistical value”, with the following wording:   **C0510**  Technical Description: IF /\*/ExportOperation/declarationType is EQUAL to 'CO' AND  /\*/GoodsShipment/GoodsItem/Procedure/requestedProcedure is EQUAL to '10'  THEN /\*/GoodsShipment/GoodsItem/statisticalValue = "O"  ELSE /\*/GoodsShipment/GoodsItem/statisticalValue = "R"  Functional Description: IF <EXPORT OPERATION.Declaration type> is EQUAL to 'CO' AND  <GOODS SHIPMENT-GOODS ITEM-PROCEDURE.Requested procedure> is EQUAL to '10'  THEN <GOODS SHIPMENT-GOODS ITEM.Statistical value> = "O"  ELSE <GOODS SHIPMENT-GOODS ITEM.Statistical value> = "R"   1. A new condition shall be added, which will be attached to Data Item “GOODS SHIPMENT.GOODS ITEM.Country of destination”, with the following wording:   **C0519**  Technical Description: IF /\*/GoodsShipment/countryOfDestination is PRESENT  THEN /\*/GoodsShipment/GoodsItem/countryOfDestination = "N"  ELSE /\*/GoodsShipment/GoodsItem/countryOfDestination = "R"  Functional Description: IF <GOODS SHIPMENT.Country of destination> is PRESENT  THEN <GOODS SHIPMENT-GOODS ITEM.Country of destination> = "N"  ELSE <GOODS SHIPMENT-GOODS ITEM.Country of destination> = "R"   1. A new condition shall be added, which will be attached to Data Group “GOODS SHIPMENT.GOODS ITEM.CONSIGNEE”, with the following wording:   **C0523**  Technical Description: IF /\*/GoodsShipment/Consignment/Consignee is PRESENT THEN this /\*/GoodsShipment/GoodsItem/Consignee = "N" ELSE this /\*/GoodsShipment/GoodsItem/Consignee = "O"  Functional Description: IF <GOODS SHIPMENT-CONSIGNMENT-Consignee> is PRESENT THEN this <GOODS SHIPMENT-GOODS ITEM-Consignee> = "N" ELSE this <GOODS SHIPMENT-GOODS ITEM-Consignee> = "O"   1. A new condition shall be added, which will be attached to Data Item “GOODS SHIPMENT.Country of destination”, with the following wording:   **C0526**  Technical Description: IF /\*/GoodsShipment/GoodsItem/countryOfDestination is PRESENT  THEN /\*/GoodsShipment/countryOfDestination = "N"  ELSE /\*/GoodsShipment/countryOfDestination = "R"  Functional Description: IF <GOODS SHIPMENT-GOODS ITEM.Country of destination is PRESENT  THEN <GOODS SHIPMENT.Country of destination> = "N"  ELSE <GOODS SHIPMENT.Country of destination> = "R"   1. A new condition shall be added, which will be attached to Data Group “GOODS SHIPMENT.CONSIGNMENT.ACTIVE BORDER TRANSPORT MEANS”, with the following wording:   **C0528**  Technical Description: IF /\*/GoodsShipment/Consignment/modeOfTransportAtTheBorder is NOT PRESENT  THEN /\*/GoodsShipment/Consignment/ActiveBorderTransportMeans = "N"  ELSE IF /\*/ExportOperation/declarationType is EQUAL to 'EX' AND  /\*/GoodsShipment/GoodsItem/Procedure/requestedProcedure is in SET {10, 11, 23, 31}  THEN  IF /\*/GoodsShipment/Consignment/modeOfTransportAtTheBorder is in SET {2, 5, 7}  THEN /\*/GoodsShipment/Consignment/ActiveBorderTransportMeans = "O"  ELSE /\*/GoodsShipment/Consignment/ActiveBorderTransportMeans = "R"  ELSE /\*/GoodsShipment/Consignment/ActiveBorderTransportMeans = "N"  Functional Description: IF <GOODS SHIPMENT-CONSIGNMENT.Mode of transport at the border> is NOT PRESENT  THEN <GOODS SHIPMENT-CONSIGNMENT-ACTIVE BORDER TRANSPORT MEANS> = "N"  ELSE IF <EXPORT OPERATION.Declaration Type> is EQUAL to 'EX' AND <GOODS SHIPMENT-  GOODS ITEM-PROCEDURE.Requested procedure> is in SET {10, 11, 23, 31}  THEN  IF <GOODS SHIPMENT-CONSIGNMENT.Mode of transport at the border> is in SET {2, 5, 7}  THEN <GOODS SHIPMENT-CONSIGNMENT-ACTIVE BORDER TRANSPORT MEANS> = "O"  ELSE <GOODS SHIPMENT-CONSIGNMENT-ACTIVE BORDER TRANSPORT MEANS> = "R"  ELSE <GOODS SHIPMENT-CONSIGNMENT-ACTIVE BORDER TRANSPORT MEANS> = "N"   1. Condition C0655 will be reworded as follows:   **C0655**  **FROM**  Technical Description: IF /\*/ExportOperation/additionalDeclarationType is in SET {A, D, X, Y} AND TARIC provides  supplementary units  THEN /\*/GoodsShipment/GoodsItem/Commodity/GoodsMeasure/supplementaryUnits = "R"  ELSE /\*/GoodsShipment/GoodsItem/Commodity/GoodsMeasure/supplementaryUnits = "N"  Functional Description: IF <EXPORT OPERATION.Additional declaration type> is in SET {A, D, X, Y} AND TARIC provides  supplementary units  THEN <GOODS SHIPMENT-GOODS ITEM-COMMODITY-GOODS MEASURE.Supplementary units>  = “R”  ELSE <GOODS SHIPMENT-GOODS ITEM-COMMODITY-GOODS MEASURE.Supplementary units>  = “N”  **TO**  Technical Description: IF /\*/ExportOperation/additionalDeclarationType is in SET {A, D, X, Y, U, V} AND TARIC provides  supplementary units  THEN /\*/GoodsShipment/GoodsItem/Commodity/GoodsMeasure/supplementaryUnits = "R"  ELSE /\*/GoodsShipment/GoodsItem/Commodity/GoodsMeasure/supplementaryUnits = "N"  Functional Description: IF <EXPORT OPERATION.Additional declaration type> is in SET {A, D, X, Y, U, V} AND TARIC provides  supplementary units  THEN <GOODS SHIPMENT-GOODS ITEM-COMMODITY-GOODS MEASURE.Supplementary units>  = “R”  ELSE <GOODS SHIPMENT-GOODS ITEM-COMMODITY-GOODS MEASURE.Supplementary units>  = “N”   1. Condition C0920 will be updated as follows:   **C0920**  **FROM**  Technical Description:  IF /CC515C/ExportOperation/additionalDeclarationType is ~~in SET {X, Y}~~ AND  /CC515C/GoodsShipment/Warehouse is PRESENT  THEN /CD533C/GoodsShipment/Warehouse = “R”  ELSE /CD533C/GoodsShipment/Warehouse = “N”  Functional Description:  IF <CC515C-EXPORT OPERATION.Additional declaration type> is ~~in SET {X, Y}~~ AND <CC515C-  GOODS SHIPMENT-WAREHOUSE> is PRESENT  THEN <C~~C~~533C-GOODS SHIPMENT-WAREHOUSE> = “R”  ELSE <C~~C~~533C-GOODS SHIPMENT-WAREHOUSE> = “N”  **TO:**  Technical Description:  IF ~~/CD533C/ExportOperation/additionalDeclarationType is EQUAL TO ‘Y’ AND~~  /~~CC515C~~ CD540C/GoodsShipment/Warehouse is PRESENT  THEN /CD533C/GoodsShipment/Warehouse = “R”  ~~ELSE IF /CD533C/ExportOperation/additionalDeclarationType is in SET {U,V} AND~~  ~~/CC512C/GoodsShipment/Warehouse is PRESENT~~  ~~THEN /CD533C/GoodsShipment/Warehouse = “O”~~  ELSE /CD533C/GoodsShipment/Warehouse = “N”  Functional Description:  IF ~~<CD533C-EXPORT OPERATION.Additional declaration type> is EQUAL TO ‘Y’~~ AND <~~CC515C~~ CD540C-GOODS SHIPMENT-WAREHOUSE> is PRESENT  THEN <CD533C-GOODS SHIPMENT-WAREHOUSE> = “R”  ~~ELSE IF <CD533C-EXPORT OPERATION.Additional declaration type> is in SET {U,V} AND <CC512C-~~  ~~GOODS SHIPMENT-WAREHOUSE> is PRESENT~~  ~~THEN <CD533C-GOODS SHIPMENT-WAREHOUSE> = “O”~~  ELSE <CD533C-GOODS SHIPMENT-WAREHOUSE> = “N”  Validation of Rule C0920 remains as is (i.e. Validated By Sender: ‘R’ and Validated By Recipient: ‘SR’).   1. A new guideline shall be added, which will be attached to Data Item “EXPORT OPERATION.LRN”, with the following wording:   **G0511**  Technical Description:  N/A  Functional Description:  The LRN of the recapitulative supplementary declaration is used as the unique identifier of that declaration.  In case of additional declaration type is 'U' (for a supplementary recapitulative declaration of simplified declarations covered by C and F) this LRN is not the same as the LRN of the simplified declaration.  In case of additional declaration type is 'V' (for a supplementary recapitulative declaration under the procedure covered under Article 182 of the Code), this LRN is not the same as the LRN of the simplified declaration.     1. A new ~~guideline~~ condition shall be created, which will be added to Data Item “EXPORT OPERATION. Declaration type”, with the following wording:   **~~G0512~~**  **C0514**  Technical Description:  ~~N/A~~  IF /\*/ExportOperation/additionalDeclarationType is EQUAL to 'V'  THEN /\*/GoodsShipment/dateAndTimeOfAcceptance = "R"  ELSE /\*/GoodsShipment/dateAndTimeOfAcceptance = "N"  Functional Description:  ~~The expected definitions for the recapitulative supplementary declaration in regard to Union procedure code, declaration type, per Columns (table heading in Annex B to Delegated Regulation (EU) 2015/2446)) are the following:~~  ~~Column B1: The D.I. ‘Declaration type’ shall be equal to ‘EX’ and the D.I. ‘Requested procedure’ shall be in set of values {10, 11, 23, 31}.~~  ~~Column B2: The D.I. ‘Declaration type’ shall be equal to ‘EX’ and the D.I. ‘Requested procedure’ shall be in set of values {21, 22}.~~  *~~This is applicable only for the recapitulative supplementary declaration with Additional declaration type = ‘U’ (Standard Recapitulative).~~*  ~~Column B4: The D.I. ‘Declaration type’ shall be equal to ‘CO’ and the D.I. ‘Requested procedure’ shall be equal to ‘10’.~~  IF <EXPORT OPERATION.Additional declaration type> is EQUAL to 'V'  THEN <GOODS SHIPMENT.Date and time of acceptance> = "R"  ELSE <GOODS SHIPMENT.Date and time of acceptance> = "N"   1. A new guideline shall be added, which will be added to Data Group “SUPERVISING CUSTOMS OFFICE”, with the following wording:   **G0513**  Technical Description:  N/A  Functional Description:  The SCO of the special procedure shall be registered if it is different from the Office of Export.  If the special procedures are not combined with Simplified Declaration (SD) or Entry in the Declarant’s Record (EIDR) then the SCO is not needed.   1. A new guideline shall be added, which will be added to Data Item “GOODS SHIPMENT.Date of acceptance”, with the following wording:   **G0514**  Technical Description:  N/A  Functional Description:  This data element shall only be used in the context of a supplementary declaration for Entry into the declarants records to record the date and time of acceptance.   1. A new guideline shall be added, which will be added to Data Items “GOODS SHIPMENT.CONSIGNMENT.Gross Mass”, “GOODS SHIPMENT.GOODS ITEM.COMMODITY.GOODS MEASURE.Net mass” and “GOODS SHIPMENT.GOODS ITEM.COMMODITY.GOODS MEASURE.Gross mass”, with the following wording:   **G0515**  Technical Description:  N/A  Functional Description:  Only value '0' shall be used for the cases of EIDR (Additional declaration type = 'V'), where export of electricity takes place.   1. A new guideline shall be added, which will be added to Data Items “GOODS SHIPMENT.PREVIOUS DOCUMENT. Type”, with the following wording:   **G0516**  Technical Description:  N/A  Functional Description:  When the <Additional declaration type> is EQUAL to ‘U’, then the D.E. <GOODS SHIPMENT-PREVIOUS DOCUMENT.Type> is nMRN. When the <Additional declaration type> is EQUAL to ‘V’, then the D.E. <GOODS SHIPMENT-PREVIOUS DOCUMENT.Type> should be nCLE.   1. A new guideline shall be added, which will be attached to Data Items “GOODS SHIPMENT.PREVIOUS DOCUMENT.Reference number”, with the following wording:   **G0518**  Technical Description:  N/A  Functional Description:  When the <Additional declaration type> is ‘U’, the MRN declared as Previous Document (GOODS SHIPMENT-PREVIOUS DOCUMENT.Reference number) in the Supplementary Declaration must be the MRN of the Simplified Declaration.   1. A new guideline shall be added, which will be attached to Data Item “GOODS SHIPMENT.PREVIOUS DOCUMENT.Reference number”, with the following wording:   **G0519**  Technical Description:  N/A  Functional Description:  When the <Additional declaration type> is ‘V’ (Recapitulative supplementary declaration in context of EIDR), in case of having Presentation Notification (PN) earlier the Reference number of PN shall be declared under Previous Document (GOODS SHIPMENT-PREVIOUS DOCUMENT.Reference number) in the Supplementary Declaration.  When the <Additional declaration type> is ‘V’ (Recapitulative supplementary declaration in context of EIDR), in case of having PN waiver earlier and the declaration information are recorded into Declarant’s record only, the ID of declaration shall be declared under Previous Document (GOODS SHIPMENT-PREVIOUS DOCUMENT.Reference number) in the Supplementary Declaration.  The record into the declarant’s books, as well as the presentation notification for EIDR are not part of the AES-P1 phase, and they shall be treated nationally.   1. A new rule shall be added, which will be attached to Data Item “AUTHORISATION.Type”, with the following wording:   **R0511**  Technical Description:  IF /\*/ExportOperation/additionalDeclarationType is EQUAL to ‘U’  THEN the first iteration of /\*/Authorisation/type is EQUAL to ‘C512’ AND all other iterations of /\*/Authorisation/type is NOT EQUAL to ‘C512’  ELSE IF /\*/ExportOperation/additionalDeclarationType is EQUAL to ‘V’  THEN the first iteration of /\*/Authorisation/type is EQUAL to ‘C514’ AND all other iterations of /\*/Authorisation/type is NOT EQUAL to ‘C514’  Functional Description:  IF <EXPORT OPERATION.Additional declaration type> is EQUAL to ‘U’  THEN the first iteration of <AUTHORISATION.Type> is EQUAL to ’C512’ AND all other iterations of <AUTHORISATION.Type> is NOT EQUAL to ’C512’  ELSE IF <EXPORT OPERATION.Additional declaration type> is EQUAL to ‘V’  THEN the first iteration of <AUTHORISATION.Type> is EQUAL to ’C514’ AND all other iterations of <AUTHORISATION.Type> is NOT EQUAL to ’C514’   1. ~~A new rule shall be added, which will be attached to Data Item “AUTHORISATION.Reference number”, with the following wording:~~   **~~R0512~~**  ~~Technical Description:~~  ~~IF /\*/ExportOperation/additionalDeclarationType is in SET CL241~~  ~~THEN all iterations of /\*/Authorisation/referenceNumber shall be the same~~  ~~Functional Description:~~  ~~IF <EXPORT OPERATION.Additional declaration type> is in SET CL241~~  ~~THEN all iterations of <AUTHORISATION.Reference number> shall be the same~~   1. A new rule shall be added, which will be attached to Data Item “GOODS SHIPMENT.PREVIOUS DOCUMENT.Reference number”, with the following wording:   **R0513**  Technical Description:  IF /\*/ExportOperation/additionalDeclarationType is in SET CL241  THEN the value ‘0’ is not valid for Data Element PreviousDocument/referenceNumber  Functional Description:  IF <EXPORT OPERATION.Additional declaration type> is in SET CL241  THEN the value ‘0’ is not valid for Data Element <PREVIOUS DOCUMENT. Reference number>   1. A new codelist “CL241– DeclarationTypeAdditionalRecapitulative” shall be created,which will be added to Data Item “EXPORT OPERATION.Additional declaration type” in CC512C, with the two following values:  * U: for a supplementary **recapitulative** declaration of simplified declarations covered by **C** and **F** (for lodging a simplified declaration (such as referred to under code C) in accordance with Article 171 of the Code) * V: for a supplementary **recapitulative** declaration under the procedure covered under Article 182 of the Code (i.e. Entry in the declarant's records)).  |  |  |  |  | | --- | --- | --- | --- | | CL241 | DeclarationTypeAdditionalRecapitulative | U | for a supplementary **recapitulative** declaration of simplified declarations covered by **C** and **F** (for lodging a simplified declaration (such as referred to under code C) in accordance with Article 171 of the Code) | | CL241 | DeclarationTypeAdditionalRecapitulative | V | for a supplementary **recapitulative** declaration under the procedure covered under Article 182 of the Code (i.e. Entry in the declarant's records)). |  1. A new codelist “CL242– DeclarationTypeAdditionalSupplementary” shall be created, which will be added to Data Item “EXPORT OPERATION.Additional declaration type” in CD533C, with the ~~three~~ two following values:  * U: for a supplementary **recapitulative** declaration of simplified declarations covered by **C** and **F** (for lodging a simplified declaration (such as referred to under code C) in accordance with Article 171 of the Code) * ~~V: for a supplementary~~ **~~recapitulative~~** ~~declaration under the procedure covered under Article 182 of the Code (i.e. Entry in the declarant's records))~~ * Y: for a supplementary declaration of general or periodic nature of simplified declarations covered by C and F (for lodging a simplified declaration (such as referred to under code C) in accordance with Article 171 of the Code)  |  |  |  |  | | --- | --- | --- | --- | | CL242 | DeclarationTypeAdditionalSupplementary | U | for a supplementary **recapitulative** declaration of simplified declarations covered by **C** and **F** (for lodging a simplified declaration (such as referred to under code C) in accordance with Article 171 of the Code) | | ~~CL242~~ | ~~DeclarationTypeAdditionalSupplementary~~ | ~~V~~ | ~~for a supplementary~~ **~~recapitulative~~** ~~declaration under the procedure covered under Article 182 of the Code (i.e. Entry in the declarant's records)).~~ | | CL242 | DeclarationTypeAdditionalSupplementary | Y | For a supplementary declaration of general or periodic nature of simplified declarations covered by C and F (for lodging a simplified declaration (such as referred to under code C) in accordance with Article 171 of the Code) |   It shall be noted that this codelist will be used only for the CD533C in the common domain and in the rest of the common domain/external domain IEs (except of the newly added CC512C), CL042 is used (where values ‘U’ and ‘V’ are not in).   1. The new codelist CL242 shall be attached to Data Element “EXPORT OPERATION.Additional declaration type” in CD533C as follows:   MESSAGE 1x R  ---EXPORT OPERATION 1x R  MRN R an18 G0002; R0028; R0412  Declaration type R an..5 CL231  Additional declaration type R a1 ~~CL042~~ CL242  …  ---CUSTOMS OFFICE OF PRESENTATION 1x R  …   1. A new D.I. shall be added under “GOODS SHIPMENT.GOODS ITEM” named “Sequence number” before ”Declaration goods item number” in CC512C. Rule R0987 and guideline G0522 shall be attached to this data item. In addition, G0999 shall be detached from D.I. “GOODS SHIPMENT.GOODS ITEM.Declaration goods item number” since there can be multiple Goods Shipments/Goods Items in CC512C that can exceed 999x occurrences.   MESSAGE 1x R ... ---------TRANSPORT CHARGES   Method of payment R a1 CL116  ------GOODS ITEM  Sequence number R R0987 G0522  Declaration goods item number R n..5 ~~G0999~~ R0007   1. A new guideline G0522 shall be added and will be attached to Data Item “GOODS SHIPMENT.GOODS ITEM.Sequence number”, with the following wording:   **G0522** Technical Description:  N/A  Functional Description:  For <Additional declaration type> EQUAL to ‘U’, each <CC512C-GOODS SHIPMENT-GOODS  ITEM.Sequence number> in the recapitulative supplementary declaration must be the same as the  <CC515C-GOODS SHIPMENT-GOODS ITEM.Declaration goods item number> in the corresponding  simplified declaration (which has the same MRN as the <CC512C-GOODS SHIPMENT-PREVIOUS  DOCUMENT.Reference number>).   1. New scenario with one Time Sequence Diagram shall be created as optional for recapitulative supplementary declaration, in which the common domain messages are not depicted:      1. Footnote 15 of DDNXA Main Document will be updated as follows:   “In export the approved functional specifications and as well all discussions with MS participating in the project activities and bilateral discussions with other ECCG members led to the following conclusion: there is a one to one relationship between the Simplified and the Supplementary Declaration that will be respected in the Common Domain. For the case of Recapitulative Supplementary Declaration, the many to one relationship between the Simplified and the Supplementary Declaration will be respected only in the External Domain whereas for the Common Domain the Recapitulative Supplementary Declaration will be split into different declarations. The process of submission of the periodic aggregated declarations (for the case of Entry into the declarant’s records for Export) ~~does not fall under AES scope but under the National Export systems processes as defined in AES Business Case and Vision Document. Nevertheless,~~and a national message to be used for that case, have been analysed by TAXUD in collaboration with MS~~will analyse the request expressed by some Member States, to define this national message of the External domain (to be used for the case of Entry into the declarant’s records and simplified declarations for Export) and include it as a~~ An Addendum to be added to the DDNXA accepted package in 2023, for the recommendation to the Member States regarding this new message.~~0~~.”   1. In Table 13 'Functional Timers' of DDNXA Main Document, the Timer Action - Stop cell for the timer 'Timer for Lodgement of Supplementary Declaration (T\_Lodgment\_Supplementary\_Declaration) will be updated as follows:   "Receipt of Supplementary Export Declaration (Receive\_IE515 with Additional declaration type equal to “X” or “Y”  OR  Receive\_IE512 with Additional declaration type equal to “U”)."  **IMPACT ASSESSMENT:**  This RfC-Proposal has impact on business continuity since although the new use case and new external domain message are optional and it is up to each NA to decide whether this functionality shall be implemented into their systems, still, there is change in the CD533C in terms of CL under the data element ‘Additional Declaration Type’.  **Impact on External/National Domain – Medium**  This RFC-Proposal concerns changes in External Domain messages. More specifically, it concerns the addition of the new external domain message IE512.The changes introduced by the specific RFC, affect the External Domain since all the changes take place in message that is exchanged over the ED. Thus, the implementation of this part of the RfC shall be examined at a national level by the NAs. Although the impact is medium in ED (creating a new external domain message), the benefit is high as it regulates and clarifies the cases of Recapitulative simplified declaration of simplified declarations and Recapitulative simplified declaration under the Entry in the declarant's records procedure.  **Impact on Common Domain – Medium**  This RFC-Proposal concerns a replacement of CL042 with CL242 in CD533C (for the cases of having Centralised Clearance), since it is expected to have only these ~~three~~ two values in the CD533C under Data Item ‘Additional Declaration Type’ (which comes after the submission of a) either the Supplementary declaration (after the submission of 1 Simplified declaration, i.e. type ‘Y’), b) or the Recapitulative Supplementary declaration (which comes after the submission of multiple Simplified Declarations, i.e. type ‘U’~~), c) or the Recapitulative Supplementary declaration in the context of EIDR (i.e. type ‘V’)~~. Furthermore, the message CD533C is impacted since the wording of conditions C0920 and C0655 will be updated.  **Changes at semantic level**  This RfC proposal introduces syntactic changes in the Common Domain message CD533C. The changes at the syntactic level namely concern the replacement of CL042 in the D.I. ‘Additional Declaration Type’, the update of C0920 in the D.G. ‘GOODS SHIPMENT.WAREHOUSE’ and the update of C0655 which is attached to D.I. “GOODS SHIPMENT.GOODS ITEM.COMMODITY.GOODS MEASURE.Supplementary units”.  It is considered that the change proposed has impact on business continuity and therefore shall be deployed in a ***big bang*** approach. More specifically, for the change concerning the replacement of CL042 with CL242 for the Common Domain:   * In case the sender of CD533C is aligned with the proposed change while the receiver is not, CL242 will be used, and the message might be rejected due to the failure of the validation of CL242 values (‘U’, ~~‘V’~~) which are different to CL042 (except of the value ‘Y’). * In case the sender of CD533C is not aligned with the proposed changes and the receiver is aligned with the proposed changes then semantic rejection will be caused the message might be rejected due to the failure of the validation of CL042 (except if having the value ‘Y’, which is also added in the new CL242).   For the change concerning the update of C0920:   * In case the sender of CD533C is aligned with the proposed change while the receiver is not, the message might be rejected since the D.G. ‘GOODS SHIPMENT.WAREHOUSE’ of the sending message will be Optional while this D.G. will not be expected by the receiver. This is a purely theoretical case as due to the current wording of the C0920 this cannot be checked by the recipient (i.e, a check of the CC515C by the recipient is not possible) * In case the sender of CD533C is not aligned with the proposed change while the receiver is aligned with the proposed changes then no semantic rejection will be caused since the D.G. ‘GOODS SHIPMENT.WAREHOUSE’ will not be filled in the sending message and in the receiver side it is Optional.   For the change concerning the update of C0655:   * In case the sender of CD533C is aligned with the proposed change while the receiver is not, then a semantic rejection of the sending message might be caused in case the receiver rejects the message since the value~~s~~ ‘U’ ~~and ‘V’ are~~ is not expected. * In case the sender of CD533C is not aligned with the proposed changes while the receiver is aligned with the proposed changes, then the additional declaration type value~~s~~ ‘U’ ~~and ‘V’ are~~ is not applicable and the Recapitulative Supplementary Declaration shall not be received.   **Impact/Consequence/Risk in case of non-implementation:  Yes**  Very low, in terms of the introduction of the new External Domain IE since the above proposed changes will be examined at national level by NAs.  Medium, in terms of the CL replacement in the CD533C, since in case of not implementing the change for replacing CL in the common domain IE, then NAs might face rejections due to this syntactic change.  Medium, in terms of the C0655 update in the CD533C, since in case of not implementing the proposed change, then NAs might face rejections due to this semantic change.  Medium, in terms of the C0920 update in the CD533C, since in case of not implementing the proposed change, then NAs might face rejections due to this semantic change.  **Proposed** date of applicability in Operations **(T-ops)**: 01.12.2024  **Proposed** date of applicability in CT **(T-CT)**: 01.09.2024  **Expected** date of approval by ECCG **T-CAB)**: TBD  **Impact on transition ECS-P2-AES-P1**: None |

**Impact on CI Artefacts**

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| --- | --- | --- |
| **DDNXA-5.15.1-v1.00** | Cosmetic  Low  Medium  High  Very High  Short description   |  | | --- | | Updates in DDNXA Appendix K, Q2 files (pdf and excel) and Appendix X (xsd files). | |
| **DDNXA-5.15.1 (Main Document)** | Cosmetic  Low  Medium  High  Very High  Short description   |  | | --- | | A new use case shall be created and the footnote 15 shall be updated | |
| **Functional Specifications (FSS/BPM): Yes** | Cosmetic  Low  Medium  High  Very High  Short description   |  | | --- | | New BPMN models shall be created to depict the above process. | |
| **CSE-v51.8.0** | Cosmetic  Low  Medium  High  Very High  Short description   |  | | --- | | Updates as described in section 3. | |
| **CTP-5.13.0-v1.00** | Cosmetic  Low  Medium  High  Very High  Short description   |  | | --- | | Alignment of messages according to the updates of specifications. | |
| **TRP-5.13.0-v1.00** | Cosmetic  Low  Medium  High  Very High  Draft impact on CTP/TRP during the DDNA IAR/RFC proposal:   |  |  |  | | --- | --- | --- | | **Updated TC (Partially Passed or Failed if NA not aligned):** | No | None | | **Deleted Test Cases:** | No | None | | **New Test Cases:** | **YES** | For C0472, C0473, C0474, C0475, C0509, C0510, C0519, C0523, C0526, C0528, R0511, R0512, R0513, C0514:   * EFE-SC-C0472 * EFE-SC-C0473 * EFE-SC-C0474 * EFE-SC-C0475 * EFE-SC-C0509 * EFE-SC-C0510 * EFE-SC-C0519 * EFE-SC-C0523 * EFE-SC-C0526 * EFE-SC-C0528 * EFE-SC-R0511 * ~~EFE-SC-R0512~~ * EFE-SC-R0513 * EFE-SC-C0514 * EBS-SSD-CCE-002 | | **Updated Drools:** | **YES** | For C0513, C0514, C0515, C0516, C0517, C0518, C0519, C0520, C0521, C0522, R0511, ~~R0512~~, R0513, | | **Other:** | XSD update for the new message | | |
| **CRP-5.7.7.1-v1.0** | Cosmetic  Low  Medium  High  Very High  Short description   |  | | --- | |  | |
| **DMP Package-v5.8.0-1.00** | Cosmetic  Low  Medium  High  Very High  Short description   |  | | --- | | Update of ‘AES-Data Mapping-v0.53.xls’ file on R&C fields and update of ‘Rules and Conditions.xls’ file. | |

**Estimated impact on National Projects**

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| --- | --- |
| Cosmetic  Low  Medium  High  Very High  Short description   |  | | --- | | Creating a new external domain message with 10 new conditions, 8 new guidelines and 3 new rules were created.  Creating two new Codelists for the support of the Recapitulative Supplementary Declaration.  Updating two conditions. | |

**Document History**

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| **Document History** | | |  |
| **Version** | **Status** | **Date** | ***Comment*** |
| v0.10 | Draft by SOFTDEV | 06/04/2023 | *First draft prepared by SOFT-DEV.* |
| v0.20 | Submitted for review to DG TAXUD. | 08/05/2023 | *TRP impact Added*  *Submitted for Review to DG TAXUD.* |
| v0.30 | Enhanced by SOFT-DEV | 06/06/2023 | *Feedback from DG TAXUD incorporated.* |
| v1.00 | SfA to DG TAXUD. | 22/06/2023 | *Submitted for Acceptance to DG TAXUD.* |
| v1.01 | SfR to NPM | 12/10/2023 | *Submitted for NPM review.* |
| v1.10 | Sfa to NPM | 03/12/2023 | ~~Delated text~~  Added text  *Submitted for Acceptance to NPM.* |